



OCTOBER  
2015

**Interp. of Interest**

**Impermissible Use of Recruiting Funds**

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All funds for recruiting shall be deposited directly with Dartmouth, who is exclusively and entirely responsible for the manner in which such funds are expended. Therefore, it is not permissible for any outside organization or individual (e.g., recruiting event operator) to provide institutional coaching staff members with expenses (e.g., travel, lodging) to attend any event for recruiting purposes.

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# BIG GREEN COMPLIANCE NEWSLETTER

## SMU Commits Major Violations

**INDIANAPOLIS** – Southern Methodist University committed multiple violations, including academic fraud, unethical conduct and head coach control in the men’s basketball program and recruiting and unethical conduct in the men’s golf program, according to a decision issued by a Division I Committee on Infractions panel.

As a result, the former head men’s golf coach, the former compliance director and a former men’s basketball administrative assistant violated NCAA’s unethical conduct rules. Additionally, the head men’s basketball coach failed to promote an atmosphere of compliance when he did not report violations and was not initially truthful during an interview with NCAA enforcement staff.

Penalties in this case include three years of probation; a postseason ban for the men’s basketball and golf teams; scholarship reductions; recruiting restrictions; a vacation of certain men’s basketball wins; the disassociation of a booster; and a suspension of 30 percent of the men’s basketball season for the head coach.

The men’s basketball head coach, former men’s basketball administrative assistant, former head men’s golf coach and former compliance director each received show-cause orders as well. During a show-cause period, if the individuals work for a member school, their athletic duties may be restricted.

The case started in 2013 when the former compliance director admitted to falsifying sign-in sheets for two rules education sessions required by the terms of a 2011 infractions decision. The education sessions actually happened, but the former compliance director did not document them. Because he knowingly submitted the falsified sign-in sheets, the former compliance director violated NCAA ethical conduct rules. He also chose not to participate in the infractions process.

**Men’s Basketball Violations:**

- Assistant coach and basketball administrative assistant encouraged a recruit to take an online class to help his admissions to the school, then completed the coursework for that recruit.
- Head coach failed to promote an atmosphere of compliance within his program and is responsible for all of his staff, even if no direct involvement.

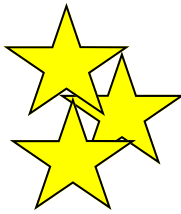
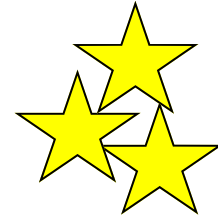
**Men’s Golf Violations:**

- Over 60 impermissible contacts with recruits and their parents.
- Facilitated booster and recruit interactions.

~Emily James—NCAA.com - 9/2915

# COMPLIANT ALL-STAR LINEUP OF THE MONTH

**Congratulations to the following who were the September  
“All-Star Lineup of the Month!”**



That’s Dino, he’s too cool to have a head-shot, but apparently not too cool for compliance.

**These Elite 8 have gone above and beyond to maintain and foster a compliant atmosphere for their programs.**

**Congrats to you 8 and thank you! Everyone else, keep up the great work!**

## Secondary Infraction of the Month

### Facts of the Case

### IMPERMISSIBLE USE OF RECRUITING FUNDS

During the summer, an outside organization paid for the hotel room of an assistant coach while on a recruiting trip. Specifically, the assistant coach attended a softball tournament and the sponsor of the event offered to pay the hotel expenses of any college coaches who attended the event. The assistant coach believed it would be permissible since the company was offering to pay the expense for any college coach.

### Institutional Action

- Letters of admonishment was issued to the assistant coach.
- Assistant coach precluded from recruiting off-campus for a period of two weeks

### Legislative References

- 13.14.1: Institutional Control
- 13.14.4: Slush Funds

**Please note, this violation did *not* occur at Dartmouth College.**

**This is for educational purposes only.**

# RECRUITING REMINDERS FOR THE MONTH OF OCTOBER

## Baseball

Contact period Entire month of October

## Men's Basketball

Recruiting period Entire month of October

## Women's Basketball

Evaluation Period Entire month of October

## Cross Country/ Track & Field

Contact period Entire month of October

## Football

Evaluation period Entire month of October  
*\*42 evaluation days permitted in fall, all other days = Quiet*

## M. Lacrosse

Contact period Entire month of October  
*\*No lacrosse evaluations*

## W. Lacrosse

Contact period Entire month of October

## Softball

Contact period Entire month of October

## Volleyball

Contact period Entire month of October

## Reminder for Coaches

### Travel Rosters:

- EVERY SPORT must submit a travel roster to Emily prior to departing for EVERY away contests.

### Official Visits:

- Paperwork: Feel free to submit ONLY the official visit forms without transcripts and test scores as attachments .
  - ◇ We will first check two places that we could pull that information from (Slate and NCAA Eligibility Center), and if not there, we will request the needed document from you personally.
  - ◇ This will cut back on time spend duplicating documents, and unnecessary extra paperwork!

### Activities During Recruiting Visits:

- Either on official/unofficial visits, it is impermissible to arrange miscellaneous or personalized recruiting aids .
- Recruiting aids = any decorative item/special addition to any location the recruit will visit, personalized or not.
- It is also impermissible to have recruits engage in game-day simulations (e.g., high-five tunnel with team, special announcements, etc.).

### **Athletic Compliance Staff**

#### **Jake Munick**

Assistant Athletic Director  
jake.munick@dartmouth.edu  
Ext. 6-1378

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#### **Emily Cummings**

Compliance Specialist  
emily.r.cummings@dartmouth.edu  
Ext. 6-8810



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